

## Retracked GDR Release 3.0 Usage Notes

**Please read these notes in their entirety as there are significant changes from Release 2.1 of February 2007 for the Hobart OSTST.**

This is a new release of the TOPEX Retracked GDR (RGDR) covering almost all of the TOPEX mission from cycle 21 to cycle 480. There are some missing cycles or batches of passes because valid SDRs could not be obtained. A list of known missing data is given below.

These RGDRs include new GSFC orbits, the GOT 4.7 tide model, and, as in the previous release 2.1 of 2007, the TMR Replacement Product (TRP) data items. Note, the data are not yet complete as the sea state bias (SSB) and quadrant offsets have not been derived for these data. When those quantities are determined (hopefully as part of the OSTST meeting in June 2009), these RGDRs will be re-released with those values as well as other available improved corrections.

The Retracking was done with the software used for the Release 2.1 data of 2007 which gave consistent results between TOPEX and Jason-1 MLE4. The major change from 2007 is that an automated procedure to fit an altimeter point target response (PTR) to the calibration data of each cycle was used. This was crucial for correcting the PTR changes in Alt-A from about cycle 140 onwards. The PTRs used here were extended +/-12 sidelobes (final amplitude ~ 0.001 = -30 dB) although the 2007 analysis suggested that +/-50 might be needed to get the most consistent results. Investigation with PTRs extended to +/-30 sidelobes showed very small differences in range and SWH; the only notable change was slightly smaller attitude values with the larger PTRs. Also, the gain factors or “weights” for this retracking were adjusted slightly from those used previously to reduce waveform residuals. The same set of weights was used for all processing. Comparisons of data retracked with the same PTR and 2007 and 2009 weights showed essentially no dependence on the weights for range and wave height (SWH) with some change in the skewness which is the parameter that collects the TOPEX waveform artifacts. Comparisons with various PTRs showed variations up to +/- 10 mm around the data provided here on the cycles examined. All of the experimental cases showed similar dependence on SWH of differences between retracked and GDR values.

The RGDR format is identical to that of 2007 (Hobart OSTST). It attempts to align variable sections on 4 byte boundaries and as many quantities as possible are defined as signed integers. All quantities are scaled integers with as many as possible with a scale of 1. **Please review the accompanying spreadsheet (retrk-gdr-data-rec-r30.096.xls ) to see the specific filling of each data item; data item numbers are in column B. Note that some fields are not computed in this release.**

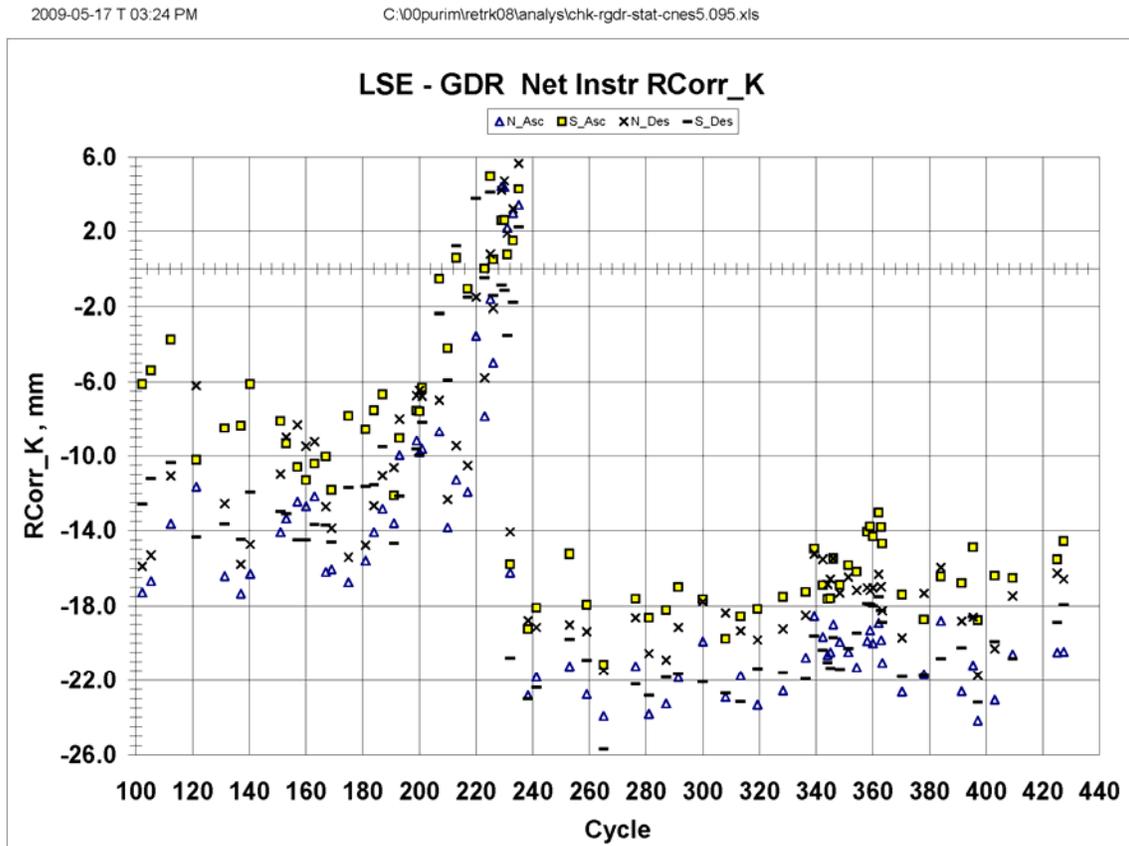
Release 3.0 data contain new POE (items 7, 8, 10) from GSFC (see email below from Nikita Zelensky, after the list of missing data), the GOT 4.7 tide model (item 61, ocean tide 2, renamed “H\_EOT\_GOT47”) of Richard Ray, and the retracked data (items 100-176). MAP retrack items 124-165 have not been validated (see note 5). No doubt, they still have the features that led to the recommendation not to use them for general analysis. They may have useful properties for some analyses.

The TMR Replacement Product (TRP) released by Desai & Brown ([ftp://poseidon.jpl.nasa.gov/pub/sandbox/products/topex\\_tmr](ftp://poseidon.jpl.nasa.gov/pub/sandbox/products/topex_tmr) (not the new product that goes closer to the coast) ) provides a completely recalibrated TMR data set using the methods used to recalibrate the JMR for Jason. Note that the corrected Wet\_H\_Rad\_Corr (item. 178) is in **0.1 mm**.

The GDR Correction Product (GCP) from several years ago was not used in producing these RGDRs. Thus, some of the fields from that product are missing/default in this release. Those items will be reinstated with the latest models in the final release.

It should be recalled that comparison between TOPEX and Jason during the collinear period (TOPEX cycles 343-364) can be done without geophysical corrections as they are common to the two data sets.

An initial analysis of 1 year of Alt-A (cycles 199-235) and Alt-B (cycles 328-364) of these new RGDRs by CNES and CLS personnel (see file **cnes-rgdr-analys.094.ppt** in the doc directory of the ftp site) showed a significant change in the relative SWH behavior of these data in the Jason-1/TOPEX collinear period from the 2007 data and some other features that deserve further study. Figure 1 shows the change in the net instrument range correction between retracking and GDR; other than the orbits, this is the main change of the RGDRs from the GDRs. The ~15 mm change at the end Alt-A from about cycle 180 onwards is expected as the GDR used the erroneously high SWH values (Figure 2 shows the SWH correction from retracking) in the Pointing Angle/SWH tables and thus got incorrect values. Figure 1 shows that the data for cycles 344-364 seem to be slightly different than the overall for Alt-B (as noted in the CNES study), but not outside of the overall variations. The reason(s) for the small differences in the TOPEX/Jason-1 collinear period is not clear at this time. Depending on additional findings by the OSTST and in particular the fitting of the sea state bias (SSB), some reprocessing of the TOPEX data may be considered.



**Figure 1:** Change in net instrument range correction from LSE (Retrk1) retracking relative to GDR. This shows the effect of the PTR change toward the end of Alt-A and a small difference during the TOPEX/Jason-1 collinear period. The difference between the quadrants is apparent at the 4 mm level. The slope over the Alt-B period is about 0.3 mm/yr; note that this includes both the original and interleaved ground tracks.

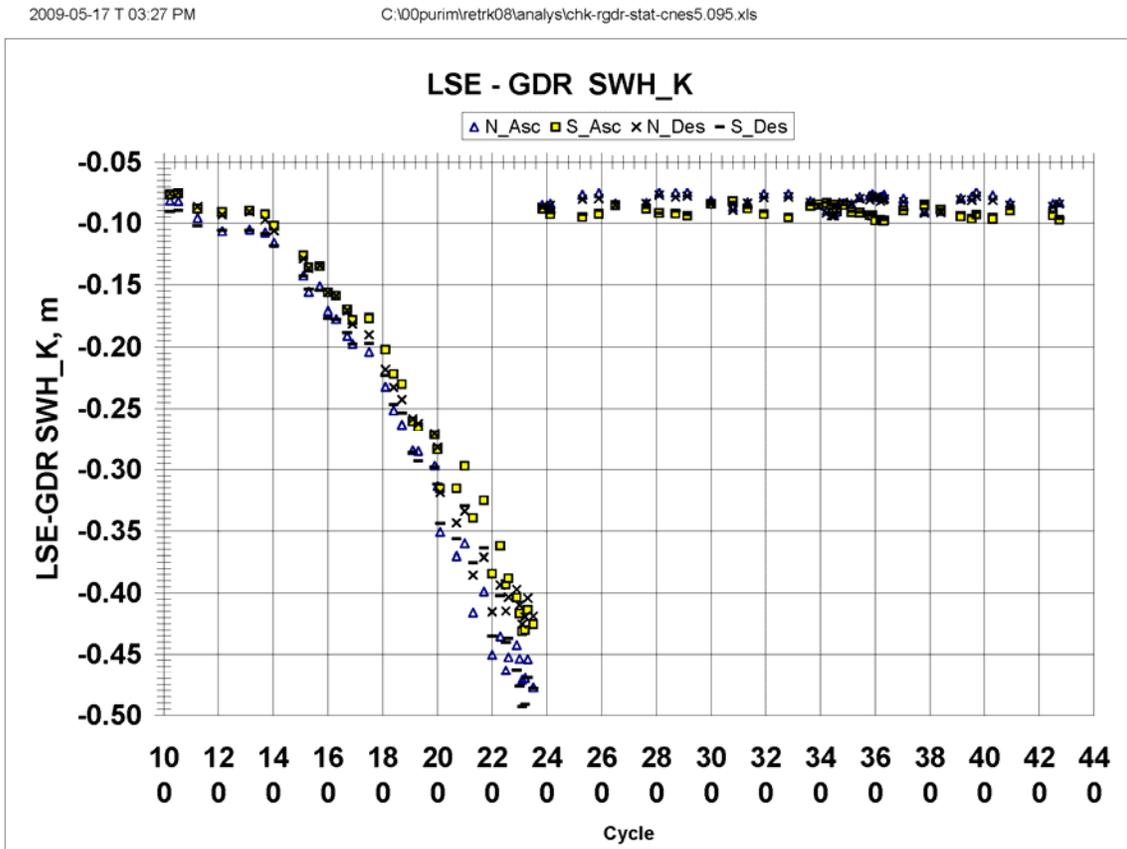


Figure 2: Change in SWH from LSE (Retrk1) retracking relative to GDR. This shows the effect of the PTR change toward the end of Alt-A. Early Alt-A and Alt-B data are very consistent.

### Specific Usage Notes

(Item numbers refer to column B (Field Number) in the Excel spreadsheet retrk-gdr-data-rec-r30r.096.xls . )

1. For items 1-99 if there is no comment, the value is copied from MGDR Version B as distributed by PODAAC/AVISO. The file has a header that is a copy of the MGDR header (33 records) with record length equal to that of the data records = 480 bytes. Values for items that fail retracking are defaulted to the field type maximum (MGDR convention). Spares are defaulted to individual bytes set to 255. An IDL structure for the file is provided on the PODAAC ftp site. A snippet of IDL to read a pass file using the data structure is provided at the end of these notes.
2. **POD:** As indicated in the spreadsheet Sat\_Alt\_2 (item 10) and all the POD-related quantities have been replaced with the new GSFC POE documented below. Sat\_Alt\_1 (item 9) is copied from the MGDR. See note 9 on forming high rate Sea Surface Height (ssh\_hr).
3. **TMR:** As mentioned in the introduction, Wet\_H\_Rad\_Corr (item. 178, units of 0.1 mm) is from the TMR Replacement Product. Wet\_H\_Rad\_Corr should be used in new sea surface height determinations as it should eliminate calibration drift and yaw (temperature) variations in TMR values.
4. Retracking values are given for the two types of retracking – Least Squares (LSE, Retrk1, items 100-123) and Maximum a Posteriori (MAP, Retrk2, items 124-165) – and K and C bands. The additional items for MAP giving the standard deviations of the fitted variables and flags based on Retracking are defaulted on this release.

MAP fitting is a Bayesian probabilistic approach that reduces to constrained least squares in which the standard deviation about an a priori value is used to condition the solution. Prior values from smoothing over 25 points of the LSE solution were used for SWH, and Attitude. The a priori skewness was set to 0 as it was determined that the LSE value was too noisy even with smoothing. No prior value was used for Range. The standard deviations were set at

$$\text{std\_dev\_prior} = \text{sqrt}(\text{std\_dev\_min}^2 + \text{std\_dev\_smoother}^2)$$

where the std\_dev\_min values are SWH = 0.5m, Attitude = 0.002deg, Skewness = 1.0, and the std\_dev\_smoother is the RMS of the values within the smoothing window of the quantity. No constraint was put on the MAP Range estimate.

The MAP estimates are much smoother than the LSE values (as expected), giving a time series similar to the smoothed LSE solutions. We do not believe that the above values of standard deviation are overly constraining, but additional testing will be done. It should be remembered that the skewness values for TOPEX are not representative of the ocean surface because of the leakages in the waveform.

5. Values for items that fail retracking are defaulted to the field type maximum (MGDR convention). However, individual waveform (WF) retracking flags (WF\_Bad\_Retrk\*\_K/C) have not been set based on this assignment, nor has the number of successfully retracked WF per frame (Nval\_Retrk\*\_K/C, items 110, 122, 134, 146) been determined. Also, computations from retracking, items 170-176 have not yet been implemented.

6. The retracking net instrument correction (K,C – items 168, 169) is

$$\text{Net_Instr_Corr_Retrk} = \text{calib\_r\_corr} + \text{track\_mode\_r\_corr} + \text{oscillator\_drift\_range\_corr} + \text{doppler\_corr}$$

where each term except oscillator drift is found separately for K and C bands.

Unlike the GDR, these do not include the dr\_SWH\_Att or the acceleration correction (which are intrinsically in the retracking estimate).

7. Items 100 (Retrk1) and 124 (Retrk2) are the net instrument range corrections including retracking. The high rate retracked ranges for each frame are compressed to a single value per frame by a least squares bisquare fit (in place of the previous least absolute deviations). The central value is added to net\_instr\_corr\_retrk to give this correction. This effectively replaces the original TOPEX pointing angle/sea state correction (polynomial dr\_SWH\_Att) with the retracking correction. The slope of the fit and the RMS about the fit are supplied in the record. The high rate deviations from the central value are given in the items \*\_Hi\_Rate.

8. Retracked Sea Surface Height (ssh) with the new orbit can be produced by using the new satellite altitude and the net instrument correction including retracking to replace the GDR net instrument range correction that uses the tabulated polynomial dr\_SWH\_Att. Thus, one uses H\_Retrk\*\_K, where \* = 1 (LSE, item 100) or 2 (MAP, item 124):

$$\text{ssh\_retrk} = \text{Sat\_Alt\_1}(9) - (\text{H\_Alt}(14) - \text{Net\_Instr\_R\_Corr\_K}(18) + \text{H\_Retrk}*_\text{K}) \\ + \text{Environmental and Geophysical corrections.}$$

9. High Rate retracked ssh (ssh\_hr), for each K band waveform in a frame (10 for TOPEX), with the new orbit can be produced by adding the high rate term of each item in ssh (hr = high rate, \*=1 or2, (#)= item# in the RGDR spreadsheet):

$$\text{ssh\_hr} = \text{sat\_alt\_1}(9) + \text{sat\_alt\_hr}(11) - (\text{H\_Alt}(14) + \text{H\_Alt\_hr}(15) - \text{Net\_Instr\_R\_Corr\_K}(18) \\ + \text{H\_Retrk}*_\text{K}(100 \text{ or } 124) + \text{H\_Retrk}*_\text{K\_hr}(101 \text{ or } 125) )$$

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Selected MAP References from a Google search for maximum a posteriori fit:

[http://www.numerica.us/papers/PooreSlocumb\\_spie2003.pdf](http://www.numerica.us/papers/PooreSlocumb_spie2003.pdf)

A.B. Poole, B.J. Slocum, B.J. Suchomel, F.H. Obermeyer, S.M. Herman, S.M. Gaaleta 2003, SPIE, "Batch Maximum Likelihood (ML) and Maximum A Posteriori (MAP) Estimation with Process Noise for Tracking Applications".

<http://www.stat.lsa.umich.edu/~ionides/pubs/msle.pdf>

E. L. Ionides 2005, Statistica Sinica, **15**, 1003-1014, "MAXIMUM SMOOTHED LIKELIHOOD ESTIMATION".

<http://www.cs.pitt.edu/~milos/courses/cs2750-Spring03/lectures/class12.pdf>

<http://www.ee.washington.edu/research/isdl/papers/droppo-1998-icslp.pdf>

James Droppo and Alex Acero 1998, Proceedings of the 1998 ICSLP Australia, **3**, 943-946, "MAXIMUM A POSTERIORI PITCH TRACKING".

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### Known missing Retracked Data

<u>cycle</u>	<u>passes</u>	<u>cycle</u>	<u>passes</u>
026	195-253	148	1-12
028	131-254	149	254
032	253	153	121, 127-128, 130-138, 140-159, 162-
033	13-29	168	
040	79	154	1-254
050	252-253	157	245-250
054	254	160	41
062	1-254	161	86, 254
064	254	166	216
075	252-254	169	3
078	167, 254	170	15
083	45, 144, 147, 150, 154-155	171	254
087	193, 199	172	2, 32
090	254	173	254
096	118, 254	179	56, 254
099	1	182	78-80, 133, 254
100	1-2, 133-138	183	213-216
101	79, 105	185	111-114, 254
102	254	191	217-220
108	157	192	248
109	238-240	196	111-115, 254
111	79, 183	208	254
112	108	211	194-196
113	254	215	179, 254
115	80, 129-131	217	10
117	157-159, 222, 231-254	219	186-187
118	1-254	220	214-215
121	1-3	223	252-254
122	252	225	1
123	104-105, 110-183	227	10, 145-150
125	153-155, 254	228	252-254
127	4, 60, 68-72	233	254
129	27-105, 133-134, 136-139, 141-145, 147,	236	1-61, 66, 85
149, 151-234		242	254
130	76	244	224
136	140	248	1
137	125, 254	252	4-5
140	91	255	254
142	1-2	258	172
144	73-77	259	215-216
145	70	261	41
147	8, 247-254	264	103-109

<u>cycle</u>	<u>passes</u>
265	209-234, 254
269	253-254
270	1
274	235
275	129-134
277	254
280	113
283	120
284	161-162
288	1-2, 254
290	1, 15
291	188
298	252-254
301	240
326	107-108
340	1-213
343	31-33
344	134
345	254
348	35-36 [217-254 BB]
349	113
350	[1-6 BB], 180
353	58-65, 70-78
354	254
355	1, 45
359	76
360	254
362	6, 36, 78
365	59-60, 90, 102-103, 112, 127-129, 190, 214-254
366	1-61, 93
367	218-219
368	1-18, 94, 170
370	94-97
371	45, 47-48, 88, 114, 148-150, 156, 174, 199-200, 216, 227, 233, 244
372	49, 66, 90, 92, 194, 244
373	42, 53, 102, 118
374	27, 213, 254
375	3, 11-24, 29, 55, 133, 218
377	254
378	53
379	3, 14, 105, 142, 168

<u>cycle</u>	<u>passes</u>
380	194
390	183, 254
398	1
400	1-143
404	179
406	71, 73
407	182, 244
408	47-52, 54-72, 74-79, 82-93, 95, 97-99, 101, 135-158
409	18, 97-103
411	106
412	1-254
413	1-67, 139-254
416	1-254
418	1
419	199
421	84-254
422	1-61
427	3, 6
430	171, 253-254
431	1-254
432	1-254
435	217-254
436	1-254
437	221-254
438	61
439	69
442	34
443	18, 23-26, 82, 155-159, 246
446	88
448	142, 161-165, 238
450	98
451	169-254
456	230-233
460	70, 81
463	15-32, 34-65, 67-100
464	1-254
466	20
467	23, 68, 102, 183, 190
468	118-131, 252-254
472	45
478	101-102, 203

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### Brief description of new POD:

Date: Fri, 13 Mar 2009 14:06:33 -0400  
 From: Nikita Zelensky <nzelensky@sgt-inc.com>

TOPEX/Poseidon GSFC std0809 orbit release cycles 1-481  
 March 12, 2009

TOPEX/Poseidon (TP) orbits across the entire Mission span , cycles 1-481, determined using the latest GSFC POD standards, std0809, are posted on the anonymous ftp site: [dirac.gsfc.nasa.gov](ftp://dirac.gsfc.nasa.gov)

pub/earth/repro\_topex/swt08/ gsfc\_poe\_std0809.\$cycle.Z poe format orbit file  
 and  
 pub/earth/repro\_topex/header\_a1utc/ gsfc\_a1utc.\$cycle a1-utc file  
 pub/earth/repro\_topex/header\_a1utc/ gsfc\_hdr.\$cycle poe header file

All three files are required input to the Hermite interpolator.

The complete TP orbit time series are available (cycles 1-481, 09/25/92 – 10/09/05):  
 - SLR/DORIS orbits cycles 1-363, 365-446 Tandem  
 - SLR/Crossover orbits cycles 447-481 Tandem

The std0809 POD standards include the Eigen\_gl04s static gravity, forward modeling of the atmosphere gravity, annual gravity terms derived from GRACE, and the ITRF2005 reference. The same std0809 standards are applied to Jason-1 and OSTM orbits released by GSFC (see: [http://www.avisioceanobs.com/fileadmin/documents/OSTST/2008/F.G\\_Lemoine.pdf](http://www.avisioceanobs.com/fileadmin/documents/OSTST/2008/F.G_Lemoine.pdf) ).

To reference the GSFC TP orbits please use:  
 Lemoine FG, NP Zelensky, SB Luthcke, DD Rowlands, DS Chinn, BD Beckley, SM Klosko, 13 Years of TOPEX/Poseidon Precision Orbit Determination and the 10-fold improvement in expected orbit accuracy, Proceedings AIAA paper 2006-6672, AIAA/AAS Astrodynamics Conference, August 21-24, 2006, Keystone, Colorado.

The table below identifies orbits broken over a cycle due to maneuver/safehold events.

Table GSFC Special Case TOPEX/Jason orbits (March 10, 2009)		
anonymous ftp <a href="ftp://dirac.gsfc.nasa.gov">dirac.gsfc.nasa.gov</a>		
path (pub/earth) / orbit name	cycles	description
TOPEX/Poseidon (latest - Nov 2008)	033	segments a, b
repro_topex/swt08/ gsfc_poe_std0809.\$cycle\${segment}.Z	050	(gsfc_poe_std0809.033a.Z, gsfc_poe_std0809.033b.Z )
for all released TP orbits cycles 001-481	123	segments a, b
	256	segments a, b
	261	segments a, b
	365	segments a, b, c
	368	segments a, b, c
	443	segments a, b

	448	segments a, b
	465	segments a, b

```
;**-----  
IDL Pass reading example (cycle 355, pass 022) using structure in rgdr06d__define.pro (named  
structure rgdr06d)
```

```
rec_len = 480  
nrec_header = 33
```

```
; Form file spec:  
rgdr_path = '/home/psc/tpx_retrk/dat/cyc355/rgdr/'  
ccycle = '355'  
cpass = '022'  
inrgdr = rgdr_path + 'retrkgdr_' + ccycle + '.' + cpass ;
```

```
print, inrgdr
```

```
close, 1  
openr, 1, inrgdr, /swap_if_big_endian ; RGDRs are little endian (PC)  
file= fstat(1) ; get some info about file inrgdr on unit 1  
;  
nrecrg = file.size/rec_len - nrec_header ; number of data records  
nptsrg1 = nrecrg - 1 ; max index  
print, 'File size, rec leng, expected records : ', file.size, rec_len, nrecrg
```

```
rg = replicate({rgdr06d}, nrecrg) ; creates vector rg(0:nptsrg1).rgdr_structure from  
rgdr__define.pro
```

```
point_lun, rec_len * nrec_header ; put file pointer at data past header  
readu, 1, rg ; reads data in vector-structure  
close, 1
```